

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

C. Stakutis et al.

Serial No.: 10/691,134

Filed: 10/21/03



Docket No. SJO919970205US4

Group Art Unit: 2171

Examiner:

For: Methods And Apparatus For High -Speed Access To And Sharing Of Storage Devices On A Networked Digital Data Processing System

**INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION  
(37 CFR 1.97(b))**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97 and 1.98, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of documents which may be relevant to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the documents cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited document, and return a copy of the Form 1449 for applicants' records. It is further requested that the documents be cited on the cover of any patent issuing from the subject application.

The citation of the above documents should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent information is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily relevant information to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

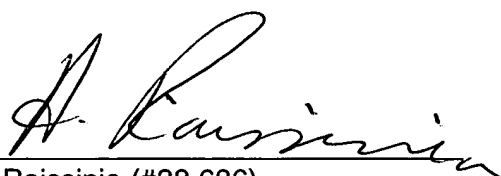
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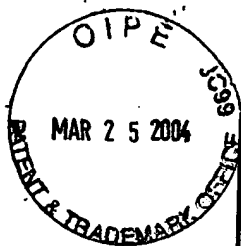
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Respectfully submitted,

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Substitute for form 1449A/PTO			Complete If Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			Application Number	10/691,134
			Filing Date	10/21/03
			First Named Inventor	Christopher J. Stakutis
			Group Art Unit	2171
			Examiner Name	Not Yet Assigned
Sheet 1 of 11	Attorney Docket Number		SJO919970205US4	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
	A	5,014,197		Wolf	05-07-1991	
	B	5,129,088		Auslander et al.	07-07-1992	
	C	5,163,156		Leung et al.	11-10-1992	
	D	5,193,168		Corrigan et al.	03-09-1993	
	E	5,218,676		Ben-Ayed et al.	06-08-1993	
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	G	5,247,660		Ashcraft et al.	09-21-1993	
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Sheet	3	of	11		

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BH	Bai, Guangyi, et al. "Implementation and Performance Evaluation of a Distributed Paged-Object Storage Server," IEICE Transactions on Information and Systems Vol. E78-D, No. 11 (November 1995) pp. 1439-1448.	
	BI	Boku, T. "The technology of cache and virtual storage," Joho Shori (Japan) Vol. 33, No. 11 (November 1992) pp. 1348-1357.	
	BJ	Corbett, Peter F., et al. "The Vesta Parallel File System," ACM Transactions on Computer Systems Vol. 14, No. 3 (August 1996) pp. 225-264.	
	BK	"Dynamically Partitioning DASD Storage Among Concurrent Processes," IBM Technical Disclosure Bulletin Vol. 36, Pub. No. 2 93A 60360 (February 1993).	
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	BM	Malinconico, S. Michael. "Mass Storage Technology and File Organization," Journal of Library Automation Vol. 13 No. 2 (June 1980) pp. 77-87.	
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	BQ	Phillips, Barry. "Have Storage Area Networks Come of Age?" Computer (July 1998) pp. 10-12.	
	BR	Poultney, John. "Alternative LANs push multimedia speed limits," MacWeek Vol. 9 No. 28 (07.17.95) pp. 16 and 18.	
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	BU	Zimmerman, J. et al. "Design, Implementation and Management of Rules in an Active Database System," Database and Expert Systems Applications, 7 <sup>th</sup> International Conference DEXA '96 Proceedings, Berlin 1996, pp. 422-435.	
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	DF	Bass, Brad. "Agencies explore storage for LANs," Federal Computer Week Vol. 6 No. 36 (December 7, 1992) p. 36(2) (Dialog Search Result printout).	
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		Filing Date	10/21/03		
		First Named Inventor	Christopher J. Stakutis		
		Group Art Unit	2171		
		Examiner Name	Not Yet Assigned		
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